

1006 Rec'd PCT/PTO 26 SEP 2005

Docket No.: 04654/0200039-US0  
(PATENT)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of:  
Gopalan Balasubramanian et al.

Application No.: 10/532,273

Filed: April 20, 2005

For: NOVEL TRICYCLIC COMPOUNDS USEFUL  
FOR THE TREATMENT OF  
INFLAMMATORY AND ALLERGIC  
DISORDERS: PROCESS FOR THEIR  
PREPARATION AND PHARMACEUTICAL  
COMPOSITIONS CONTAINING THEM

Confirmation No.: 8530

Art Unit: To Be Assigned

Examiner: To Be Assigned

**RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 USC 371 IN  
THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)**

MS Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

In response to the NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 USC 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) mailed August 4, 2005, Applicant respectfully submits an Executed Combined Declaration and Power of Attorney, a Second Preliminary Amendment, a Recordation Cover Sheet and Assignment, a Copy of the Notification, and a return receipt postcard.



Docket No.  
04654/0200039-US0

Art Unit	
To Be Assigned	
1	2
3	4
5	6
7	8
9	10
11	12
13	14
15	16
17	18
19	20
21	22
23	24
25	26
27	28
29	30
31	32
33	34
35	36
37	38
39	40
41	42
43	44
45	46
47	48
49	50
51	52
53	54
55	56
57	58
59	60
61	62
63	64
65	66
67	68
69	70
71	72
73	74
75	76
77	78
79	80
81	82
83	84
85	86
87	88
89	90
91	92
93	94
95	96
97	98
99	100

Invention: NOVEL TRICYCLIC COMPOUNDS USEFUL FOR THE TREATMENT OF INFLAMMATORY AND ALLERGIC DISORDERS: PROCESS FOR THEIR PREPARATION AND PHARMACEUTICAL COMPOSITIONS CONTAINING THEM

The fee has been calculated and is transmitted as shown below.

☒ Large Entity ☐ Small Entity

☐ No additional fee is required for this amendment.

☐ Please charge Deposit Account No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_.  
A duplicate copy of this sheet is enclosed.

☒ A check in the amount of \$ 3,150.00 to cover the filing fee is enclosed.

☐ Payment by credit card. Form PTO-2038 is attached.

☒ The Director is hereby authorized to charge and credit Deposit Account No. 04-0100  
as described below. A duplicate copy of this sheet is enclosed.

☒ Credit any overpayment.

☒ Charge any additional filing or application processing fees required under 37 CFR 1.16 and 1.17.

10/03/2005 ATRAN1 00000173 10532273

01 FC:1615	1750
02 FC:1514	1400

Application No. (if known): 10/532,273

Attorney Docket No.: 04654/0200039-US0

**Express Mail Certificate Under 37 CFR §1.10**

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail Airbill No. EV 692131085 in an envelope addressed to:

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

on September 26, 2005  
Date



Signature



Typed or printed name of person signing Certificate

Note: Each paper must have its own certificate of mailing, or this certificate must identify each submitted paper.

Notification of Missing Requirements Under 35 USC 371 in the United States Designated/  
Elected Office (DO/EO/US) (2 pages)  
Response to Notification of Missing Requirements Under 37 USC 371 ... (2 pages)  
Declaration for Patent Application (4 pages)  
Recordation Cover Sheet & Assignment (5 pages)  
Amendment Transmittal (2 pages)  
Second Preliminary Amendment (31 pages)  
Check # 9213, \$3,190.00  
Return Receipt Postcard